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## Minimum-Energy Digital Computing With Steep Subthreshold Swing Tunnel FETs

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**ABSTRACT** Energy efficiency in digital circuits is limited by the subthreshold swing (SS), which defines how abruptly a transistor switches between its  $o_N$  and  $o_{FF}$ -states. The SS is particularly important for circuits targeting minimum-energy computation which operate in the subthreshold region between the  $o_N$  and  $o_{FF}$ -states of the transistor. The SS of MOSFET devices is fundamentally limited by thermionic emission, which has inspired a search for new devices whose SS can reach below the Boltzmann thermal limit. Tunnel field-effect transistors (TFETs) have emerged as a post-CMOS candidate with low (steep) SS and have been investigated using an evolving selection of geometries and materials that yield continuously improving device performance and circuit performance estimates. To unify previous works and guide future TFET iterations, this article provides a comprehensive theory on minimum-energy operation in the subthreshold region for steep-SS devices. We show that the optimal supply voltage for energy minimization and minimum obtainable energy are both proportional to the SS, and that a fundamental limit exists for the required  $I_{ON}/I_{OFF}$  to achieve operation at the minimum-energy point. We explore how device knobs affect the optimization space for minimum-energy operation, and analyze how common TFET nonidealities affect the potential for minimum-energy operation.

**INDEX TERMS** Energy efficiency, minimum energy, performance optimization, steep-slope devices, sub-threshold swing (SS), tunnel field-effect transistor (TFET).

#### I. INTRODUCTION

**D** IGITAL CMOS circuit performance has been steadily approaching its fundamental limit as dictated by Boltzmann physics, which restricts the subthreshold swing (SS) of MOSFET devices to  $k_{\rm BT} \ln 10/q$  (60 mV/decade) due to thermionic emission. The SS describes how abruptly a device transitions between its on and off-states which are defined as the operating regions above and below a certain threshold voltage, respectively. The SS defines the *I–V* characteristic of the sub-threshold region and is a key factor in limiting the ratio of current delivered by the device in its on and off-states, known as the  $I_{\rm ON}/I_{\rm OFF}$  ratio. High-performance computing applications operate in the super-threshold region to maximize  $I_{\rm ON}/I_{\rm OFF}$ , and are constrained by power consumption and thermal dissipation. The SS sets a hard limit on the amount of power and thermal reduction that can be accomplished before the device fails to operate at a tolerable clock frequency, which has inspired a search for a post-CMOS device without a thermally limited SS [1]. The tunnel field-effect transistor (TFET) [2], [3] has emerged as a popular candidate device for achieving a sub-thermal SS, and has been analyzed from a variety of circuit perspectives including scaling, layout, reliability, digital, analog, RF, and memory design that collectively inform device and circuit design for performance-oriented TFET-based circuits. [4]–[12]

However, high-performance circuits are not the only victims of the SS limit. Energy-constrained applications, such as battery-powered and energy-harvesting circuits, operate in the sub-threshold region where the minimum-energy point

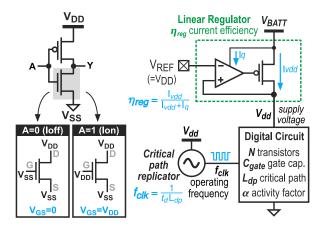


FIGURE 1. Digital circuit model using a linear voltage regulator for tunable supply voltage and critical path replicator for maximum clock speed.

occurs [13], so the SS directly affects the performance of minimum-energy circuits. Some works have highlighted and discussed minimum-energy operation for TFET circuits [6], [7], [12], [14], [15], but the exact results are dependent on specific device geometries and materials. Energy minimization has been explicitly proven for steep-SS TFETs using a theoretical approach with an emphasis on susceptibility to process variation [16], but operating frequency is not considered and the results do not account for losses in voltage regulation. Together, these works demonstrate the capability and potential impact of minimum-energy operation for TFETs, but a more comprehensive analysis is required for a foundational understanding of the device and circuit-level factors for achieving minimum-energy operation with steep-SS TFETs.

In this work, we implement a fully analytical digital circuit model based on foundational modeling techniques from both CMOS circuits and TFET devices ([13], [18]) that includes operating frequency and voltage regulation, and use it to evaluate energy minimization based on device parameters with emphasis on the SS. We demonstrate the impact of voltage regulation losses on the minimum-energy point and model the optimum supply voltage for minimizing energy based on the SS. We show how device and circuit parameters affect the limit on the minimum energy per cycle, and derive a theoretical limit on the device  $I_{\rm ON}/I_{\rm OFF}$  for achieving minimum-energy operation. We also solve for the optimal threshold voltage for minimizing energy at target operating frequency and discuss how device parameters influence the optimization space for a circuit. Finally, we compare these results with data from a quasi-analytical TFET model, showing how device nonidealities impact the potential for minimum-energy operation.

## II. MINIMUM-ENERGY OPERATION FOR STEEP-SS DEVICES

Fig. 1 shows a digital circuit model that consists of N transistors each with a gate capacitance  $C_{gate}$ . The circuit has

a critical path length of  $L_{dp}$  (normalized to the delay of an inverter), and an activity factor  $\alpha$ . The critical path length describes the slowest (typically the longest) possible path of logic gates through which a signal might need to propagate in order for the circuit to complete a given operation. Thus, an  $L_{dp}$  of 20 indicates that the slowest logical path of a circuit is equivalent to the delay of 20 consecutive inverters. The activity factor of any gate corresponds to the probability (ranging from 0 to 1) that its input will change from low (logic 0) to high (logic 1) on a clock cycle. Gates that switch every clock cycle will have  $\alpha$  of 1, while most CMOS logic ranges closer to  $\alpha = 0.1 - 0.25$ . In this model, the  $\alpha$ -value represents the average activity factor of all gates in the entire circuit. A linear voltage regulator with current efficiency  $\eta_{\rm reg}$  supplies the circuit with a supply voltage  $V_{\rm dd}$ , which is regulated from a source voltage  $V_{\text{batt}}$ . The current consumption and maximum operating frequency of the circuit can be expressed using a combination of its static and dynamic currents, which can in turn be related to device currents in the ON and OFF-states. A simplified subthreshold current equation is given by

$$I_{ds} = I_0 10^{(V_{gs} - V_{th})/SS}$$
(1)

where  $I_0$  is the current at threshold defined in this work to be 100 nA, SS is the SS,  $V_{\text{th}}$  is the threshold voltage, and drain-induced barrier lowering (DIBL) is neglected for simplicity. The specific selection of  $I_0$  in this case is based on a population of TFET performance data in [17], where we assume a nanometer-scale device (10–100 nm). Then, the oncurrent  $I_{\text{ON}}$  and off-current  $I_{\text{OFF}}$  for a given subthreshold supply voltage  $V_{\text{dd}} < V_{\text{th}}$  can be expressed as

$$I_{\rm ON} = I_0 10^{(V_{\rm dd} - V_{\rm th})/\rm{SS}}$$
(2)

$$V_{\rm OFF} = I_0 10^{-V_{\rm th}/\rm{SS}}.$$
 (3)

For ideal circuit performance, n- and p-type devices should have symmetrical electrical characteristics ( $I_0$ ,  $V_{\text{th}}$ ,  $C_{\text{gate}}$ , etc.). However, to better consider the variations between nand p-type devices, the average of their values can be used in these models. The total energy consumed from  $V_{\text{batt}}$  per clock cycle of the digital circuit is expressed as a sum of the dynamic energy  $E_{\text{cycle,dynamic}}$  and static energy  $E_{\text{cycle,static}}$ 

$$E_{\text{cycle}} = E_{\text{cycle,dynamic}} + E_{\text{cycle,static}}$$
 (4)

$$E_{\text{cycle,dynamic}} = \frac{1}{\eta_{\text{reg}}} \alpha N C_{\text{gate}} V_{\text{dd}} V_{\text{batt}}$$
(5)

$$E_{\text{cycle,static}} = \frac{I_{\text{OFF}}}{\eta_{\text{reg}} f_{\text{clk}}} \frac{N}{2} \left( 1 - \frac{\alpha}{L_{\text{dp}}} \right) V_{\text{batt}}$$
(6)

where  $f_{clk}$  is the clock operating frequency which is the inverse of the cycle time. A background on the derivation of (4)–(6) is given in [18]. The maximum clock frequency for any  $V_{dd} < V_{th}$  can be modeled as

$$f_{\rm clk} (V_{\rm dd}) = \frac{1}{t_d L_{\rm dp}} = \frac{I_{\rm ON}}{K L_{\rm dp} C_{\rm gate} V_{\rm dd}} = \frac{I_0 10^{(V_{\rm dd} - V_{\rm th})/SS}}{K L_{\rm dp} C_{\rm gate} V_{\rm dd}}$$
(7)

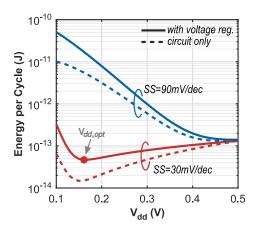


FIGURE 2. Energy per clock cycle versus supply voltage for SS = 30 mV/decade and SS = 90 mV/dec decade. Voltage regulator losses diminish the energy savings at low  $V_{dd}$  where the minimum-energy points occurs for steep-SS devices.

where  $t_d = KC_{\text{gate}} V_{\text{dd}}/I_{\text{ON}}$  represents the gate delay of a single inverter using the traditional CV/I approximation and K is a scaling factor used for calibration. Operation at the maximum frequency allowable by the critical path is a necessary condition for achieving minimum-energy operation due to a minimized integration time of leakage power without affecting dynamic energy [18]. Note that this result has an exponential dependence on  $V_{\text{dd}}$ , so small linear variations in  $C_{\text{gate}}$  across  $V_{\text{dd}}$  can be considered negligible to preserve modeling simplicity. Alternatively, (7) can be rearranged to find the minimum  $V_{\text{DD}}$  required to operate at a given  $f_{\text{clk}}$ 

$$V_{\rm dd}(f_{\rm clk}) = \frac{-SS}{\ln 10} W_{-1} \left[ \frac{-I_0 10^{-V_{\rm th}/SS} \ln 10}{C_{\rm gate} f_{\rm clk} K L_{\rm dp} SS} \right]$$
(8)

where  $W_{-1}$  is the negative (lower) branch of the lambert W function. Simplifying the energy per clock cycle in terms of the supply voltage, we combine (7) with (4)–(6)

$$E_{\text{cycle}} (V_{\text{dd}})$$

$$= \frac{1}{\eta_{\text{reg}}} \alpha N C_{\text{gate}} V_{\text{dd}} V_{\text{batt}}$$

$$+ \frac{1}{2\eta_{\text{reg}}} (L_{\text{dp}} - \alpha) C_{\text{gate}} K N 10^{-V_{\text{dd}}/\text{SS}} V_{\text{dd}} V_{\text{batt}}.$$
 (9)

Fig. 2 shows the energy per cycle versus supply voltage for a circuit of N = 5000,  $\alpha = 0.2$ ,  $C_{\text{gate}} = 0.5$  fF/ $\mu$ m, and  $L_{\text{dp}} = 20$ . These values are arbitrarily chosen, but for reference a 32-bit ripple-carry adder can be characterized as  $N \approx 1000$  and  $L_{\text{DP}} \approx 10$ –20.  $V_{\text{th}}$  and  $V_{\text{batt}}$  are both set to 0.5 V. Different curves are shown for sub and super-thermal SSs of 30 and 90 mV/decade, respectively. With a higher SS,  $E_{\text{cycle}}$  is more easily dominated by the static energy contribution of leaking devices, and therefore experiences a declining energy efficiency (increasing energy per cycle) as the supply voltage is lowered. If low (steep) SS devices

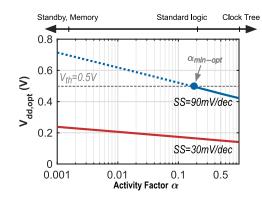


FIGURE 3. Optimum supply voltage  $V_{dd,opt}$  for energy minimization versus activity factor  $\alpha$  for SS of 30 and 90 mV/decade, given  $V_{th} = 0.5$  V and assuming that  $f_{clk}$  is maximized under the  $V_{dd}$  limit according to (7). Depending on the topology and SS,  $V_{dd,opt}$  for some  $\alpha$  may exceed  $V_{th}$ , indicating that energy minimization is not possible.

are used instead, the energy can be minimized by balancing the dynamic and static contributions by scaling the supply voltage further into the subthreshold region to an optimum value  $V_{dd,opt}$ , which can be calculated as

$$V_{\rm dd,opt} = \frac{\rm SS}{\ln 10} \left( 1 - W_{-1} \left[ \frac{2\alpha e}{K \left( \alpha - L_{\rm dp} \right)} \right] \right).$$
(10)

The energy savings from operating at  $V_{dd,opt}$  are reduced due to power losses in the linear regulator, which become more significant as  $V_{dd}$  is lowered, as shown in Fig. 2. For circuits using steep-SS devices with low  $V_{dd,opt}$ , it therefore becomes important to use a  $V_{\text{batt}}$  that is as close to the intended  $V_{\text{dd}}$ as possible. Note that  $V_{dd,opt}$  is directly proportional to SS, and has no dependence on the regulator current efficiency or on operating parameters such as  $f_{clk}$  or  $V_{th}$ . Instead, it is dictated by the topological parameters  $\alpha$  and  $L_{dp}$ . Fig. 3 shows the  $V_{dd,opt}$  computed for a variety of  $\alpha$ , ranging from highly inactive topologies such as memory ( $\alpha \approx 0.005$ ) to typical logic designs ( $\alpha \approx 0.1$ ) and highly active topologies such as clock trees ( $\alpha = 1$ ). Steep-SS devices are less sensitive to the activity factor and therefore have a more consistent target V<sub>dd.opt</sub> for minimum-energy operation. For architectures with varying workload, frequency scaling, or standby modes that target dynamic energy minimization, this simplifies the voltage regulation range for keeping the circuit operating at the minimum-energy point. In some cases when static energy is particularly significant (when  $\alpha$  is very low), the  $V_{dd,opt}$  computed from (10) will exceed  $V_{th}$  which renders the subthreshold model invalid and corresponds to a case when no minimum-energy points exists in the subthreshold region. The minimum  $\alpha$  that allows for energy minimization at a given  $V_{\text{th}}$  is calculated as the lowest  $\alpha$  that yields a  $V_{ddp,opt} < V_{th}$ . Therefore, we equate (10) with  $V_{th}$  and solve for  $\alpha$ 

$$\alpha_{\min-\text{opt}} = \frac{L_{\text{dp}} \left(\text{SS} - V_{\text{th}} \ln 10\right)}{\text{SS} \left(1 - \frac{2}{K} 10^{V_{\text{th}}/\text{SS}}\right) - V_{\text{th}} \ln 10}.$$
 (11)

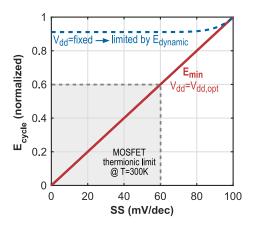


FIGURE 4. Minimum energy per cycle  $E_{min}$  scales proportionally with SS regardless of  $f_{clk}$ ,  $V_{th}$ , or  $I_0$ .  $E_{min}$  is restricted for thermionically-limited MOSFETs. Reducing SS without keeping  $V_{dd}$  set to  $V_{dd,opt}$  will reduce  $E_{cycle}$  slightly but eventually becomes limited by dynamic energy.

By setting the circuit supply voltage to  $V_{dd,opt}$ , the minimum possible energy per cycle  $E_{min}$  can be calculated as

$$E_{\min} = \frac{\theta C_{\text{gate}} N V_{\text{batt}} SS}{2\eta_{\text{reg}} e \ln 10} \left( K \left( L_{\text{dp}} - \alpha \right) e^{1-\theta} + 2\alpha e \right) \quad (12)$$

$$\theta = 1 - W_{-1} \left[ \frac{2\alpha e}{K \left( \alpha - L_{\rm dp} \right)} \right].$$
<sup>(13)</sup>

Equation (12) reveals that the value of  $E_{min}$  scales proportionally with SS as shown in Fig. 4 and is independent of any other device metrics such as  $I_0$  or  $V_{th}$ , and is also independent of  $f_{clk}$ . Other dependencies are more intuitive, such as the proportional dependence of  $E_{min}$  on gate capacitance and the number of transistors in the circuit.

Another important insight is created by evaluating the  $I_{\rm ON}/I_{\rm OFF}$  ratio at the minimum energy point, when  $V_{\rm dd} = V_{\rm dd,opt}$ . By using (10) with (2) and (3), we find that  $I_{\rm ON}/I_{\rm OFF}$  required for energy minimization depends only on the circuit architecture

$$\left. \frac{I_{\rm ON}}{I_{\rm OFF}} \right|_{E_{\rm min}} = e^{\theta}.$$
(14)

This result is true regardless of the device parameters  $(V_{\text{th}}, I_0, \text{SS})$  or target  $f_{\text{clk}}$ . Fig. 5 illustrates this fundamental limit by showing a device that is initially operating at  $E_{\text{min}}$  with  $I_{\text{ON}}/I_{\text{OFF}} = e^{\theta}$  before the SS is decreased. The lower SS slightly reduces  $E_{\text{cycle}}$  since static energy is lower due to a reduced  $I_{\text{OFF}}$ , meaning that  $E_{\text{cycle}}$  is now dominated by dynamic energy. The reduced SS also proportionally reduces  $V_{\text{dd,opt}}$  and  $E_{\text{min}}$ . Since  $V_{\text{dd}}$  is higher than  $V_{\text{dd,opt}}$  now,  $I_{\text{ON}}/I_{\text{OFF}} > e^{\theta}$  and  $E_{\text{cycle}}$  could be further reduced to  $E_{\text{min}}$  by setting  $V_{\text{dd}}$  to  $V_{\text{dd,opt}}$  to re-balance the dynamic and static energy. Frequency will be reduced from the lower  $V_{\text{dd}}$  if  $I_0$  and  $V_{\text{th}}$  remain fixed, but this can be compensated by reducing  $V_{\text{th}}$  while holding  $I_0$  constant so that the same  $I_{\text{ON}}$  now occurs at  $V_{\text{dd,opt}}$ . For the circuit under consideration,  $e^{\theta}$  is roughly  $10^5-10^6$  for  $\alpha$  ranging from 0.01 to 0.5.

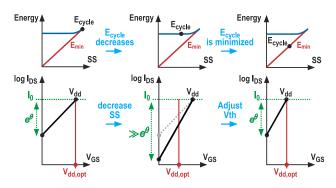


FIGURE 5. Energy is minimized when  $V_{dd} = V_{dd,opt}$ , which occurs when  $I_{ON}/I_{OFF}$  is equal to  $e^{\theta}$ . Reducing SS and obtaining a higher  $I_{ON}/I_{OFF}$  without adjusting  $V_{dd}$  will reduce energy but not minimize it.

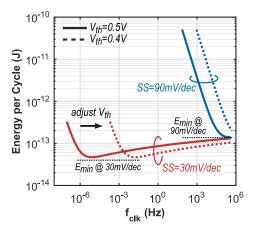


FIGURE 6. Energy per clock cycle versus operating frequency  $f_{clk}$  for different SS, assuming the  $V_{dd}$  is minimized for operation at each  $f_{clk}$  by using (8). Changing the threshold voltage allows the minimum energy point to be shifted to any target  $f_{clk}$ .

Much of the existing work on TFETs has focused not only on reducing SS but also sustaining it over as many decades of current as possible to achieve the highest  $I_{\rm ON}/I_{\rm OFF}$  ratio [17]. Increasing  $I_{\rm ON}$  improves operating speed according to (7) while reducing thermal dissipation by running at lower  $V_{\rm dd}$ , but devices aimed at minimum-energy applications should emphasize SS reduction once the required  $I_{\rm ON}/I_{\rm OFF}$  ratio has been met.

## A. DESIGNING FOR MINIMUM-ENERGY AT A TARGET CLOCK FREQUENCY

Up to this point, our analysis has implicitly set  $f_{clk}$  to its maximum possible value across  $V_{dd}$  [in accordance with (7)] for the given circuit parameters while keeping  $I_0$  and  $V_{th}$  fixed. If we instead represent the energy per clock cycle as a function of  $f_{clk}$  by using (8) with (4)–(6), we find the frequency  $f_{opt}$  at which  $E_{min}$  occurs, which is shown in Fig. 6.

 $f_{\text{opt}}$  can be directly calculated by substituting (10) into (7)

$$f_{\rm opt} = \frac{10^{-V_{\rm th}/\rm SS} e^{\theta} I_0 \ln 10}{\theta C_{\rm gate} K L_{\rm dp} \rm SS}.$$
 (15)

For the steep-SS device, the minimum-energy frequency is exceptionally low (given  $I_0 = 100$  nA and  $V_{\text{th}} = 0.5$  V), making it unfit for modern processing applications. Although operation at higher frequencies is easily possible by increasing  $V_{dd}$  beyond  $V_{dd,opt}$ , doing so would preclude operation at  $E_{\min}$ . Instead, the ideal solution is to keep  $V_{dd}$  fixed to  $V_{dd,opt}$ and shift  $E_{\min}$  to a new frequency by finding a new knob to adjust  $f_{opt}$  without affecting the value of  $E_{min}$ . According to (12)–(15), this can be done by changing  $V_{\text{th}}$  or  $I_0$  since they have no impact on  $E_{\min}$ . Decreasing  $V_{th}$  while maintaining the same  $I_0$  and SS moves the minimum-energy point to a higher frequency, as shown by the dotted lines in Fig. 6. The same effect can be accomplished by increasing  $I_0$  at a fixed  $V_{\text{th}}$ . Note that since  $V_{\text{dd,opt}} < V_{\text{th}}$ , no devices in the circuit will actually be operating at  $V_{\rm th}$  and therefore the current  $I_0$  at  $V_{gs} = V_{th}$  may simply represent an extrapolation of  $I_{ds}$  beyond  $V_{gs} = V_{dd,opt}$ . Based on these concepts, minimum-energy operation can be achieved for steep-SS devices at a target  $f_{clk}$  by first determining  $V_{dd,opt}$  and then choosing  $V_{\text{th,opt}}$  in order to position the minimum-energy point at the target frequency.  $V_{\text{th,opt}}$  can then be calculated by equating (8) with (10) and solving for  $f_{clk}$ 

$$V_{\rm th,opt} = SS \log \left[ \frac{e^{\theta} I_0 \ln 10}{\theta C_{\rm gate} f_{\rm clk} K L_{\rm dp} SS} \right].$$
 (16)

If the resulting  $V_{\text{th,opt}}$  for a given  $f_{\text{clk}}$  is smaller than the calculated  $V_{\text{dd,opt}}$ , this again corresponds to a case when the energy cannot be minimized in the subthreshold region. That is, if any  $V_{\text{th,opt}} < V_{\text{dd,opt}}$  were used with (11), the resulting  $\alpha_{\min-\text{opt}}$  would be larger than the original design value of  $\alpha$ , indicating that the original  $\alpha$  was too small to allow for energy minimization at  $V_{\text{th}} = V_{\text{th,opt}}$ . Rather than using (11) to constrain the optimization space, the domain of (16) can be more intuitively limited by finding the maximum target clock frequency  $f_{\text{max-opt}}$  that yields a  $V_{\text{th,opt}} < V_{\text{dd,opt}}$ 

$$f_{\text{max-opt}} = \frac{e^{1-\theta} I_0 \ln 10}{\text{Ke}^{1-\theta} \left( C_{\text{gate}} L_{\text{dp}} \text{SS} - \alpha \right) + 2\alpha e}.$$
 (17)

Fig. 7 shows  $V_{\text{th,opt}}$  versus  $f_{\text{clk}}$  for different SS and  $\alpha$  values. As the SS decreases,  $V_{\text{th,opt}}$  also decreases and becomes less sensitive to both  $\alpha$  and  $f_{\text{clk}}$ . The maximum frequency that can be reached at  $E_{\min}$  ( $f_{\max}$ -opt) also improves slightly as SS is reduced, but is most strongly dependent on  $I_0$  as shown in (17).

## B. DEVICE DESIGN AND TRADEOFFS FOR MINIMUM-ENERGY OPERATION

Combining the results from this section, we can summarize several key considerations and tradeoffs for designing devices targeting minimum-energy operation. The minimum achievable energy per clock cycle  $E_{min}$  is limited by the SS and can

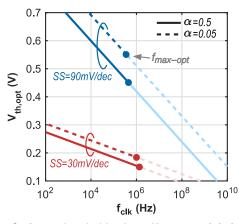


FIGURE 7. Optimum threshold voltage  $V_{th,opt}$  to minimize energy consumption versus target operating frequency  $f_{clk}$ , shown for different SS. Supply voltage is fixed across  $f_{clk}$ , set by (10).

be obtained when the supply voltage  $V_{dd}$  equals  $V_{dd,opt}$  which balances the static and dynamic energy. This condition is universally satisfied when the device can achieve an  $I_{\rm ON}/I_{\rm OFF}$ of  $e^{\theta}$ . If  $I_{\rm ON}/I_{\rm OFF} < e^{\theta}$ , then  $E_{\rm cycle}$  will be higher than  $E_{\rm min}$ due to high static energy, and if  $I_{\rm ON}/I_{\rm OFF} > e^{\theta}$ ,  $E_{\rm cycle}$  will be higher than  $E_{\min}$  due to high dynamic energy. The operating frequency at  $E_{\min}$  (while  $V_{dd} = V_{dd,opt}$ ) can be independently scaled (without affecting the value of  $E_{\rm min}$  or the  $I_{\rm ON}/I_{\rm OFF}$ ratio) by adjusting  $I_0$  or  $V_{\text{th}}$ . On the device side,  $I_0$  and  $V_{\text{th}}$ can be tuned by using different TFET structures to obtain different electric field profiles in the on-state, or by changing materials used to form the source and channel bands. The value of  $E_{\min}$  can be reduced by decreasing the SS and does not depend on  $I_0$  or  $V_{\text{th}}$ . Therefore, strictly from an energy minimization standpoint, SS should be minimized at all costs as long as an  $I_{\rm ON}/I_{\rm OFF} = e^{\theta}$  can be maintained. In many TFET designs, a result of reducing SS is that  $I_{ON}$  and  $I_{OFF}$  are lowered, which only means that the operating frequency at  $E_{\rm min}$  will be very slow as shown in Fig. 6. Still, this scenario is more energy efficient than running faster (higher  $I_0$  but same  $I_{\rm ON}/I_{\rm OFF}$  ratio) at the expense of a higher SS. Improving  $I_0$ should be viewed as an orthogonal optimization that improves the frequency range over which  $E_{\min}$  may be obtained. Fig. 8 shows this orthogonal relationship that  $I_0$  and SS have with  $f_{\text{max-opt}}$  and  $E_{\text{min}}$ .

# III. EFFECTS OF TFET NONIDEALITIES ON ENERGY MINIMIZATION

In order to study the effect of nonidealities on minimumenergy optimization, we created a quasi-analytical model for double gated III–V TFETs [18]. The model includes major nonidealities such as trap-assisted tunneling (TAT) and Auger generation. For the results discussed in this section, we simulate an n-type double gated InGaAs homojunction TFET.

We employ a two-band k.p model to accurately model the real and imaginary bands of the materials. Our model is fitted to an environment dependent tight-binding model that is calibrated to state-of-the-art DFT band structure and wave functions [19]. This model allows us to accurately predict the

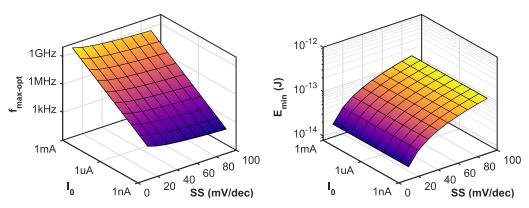


FIGURE 8. Minimum possible energy per clock cycle  $E_{min}$  and the maximum clock frequency  $f_{max-opt}$  that can be optimized to achieve  $E_{min}$ , shown as a function of  $I_0$  and SS.  $f_{max-opt}$  depends primarily on  $I_0$ , while  $E_{min}$  is affected only by SS.

tunneling probability of electrons in the device. For obtaining the potential profile we solve a pseudo-2-D Poisson's equation. An analytical equation is used to model the channel surface potential [20]. The band-to-band tunneling (BTBT) current is computed using the modified Simmons equation, which is a popular chemistry equation used for calculating the Wentzel–Kramers–Brillouin (WKB) current through thin films [21]. We modify the equation for nonrectangular barriers and include effect of finite temperature. The modified equation is derived from the well-known Landauer formalism

$$I = \frac{q}{h} \int T(E) [f_S(E) - f_D(E)] dE$$
(18)

where T(E) is the total transmission summed over all transverse states for a given energy,  $f_{S/D}(E)$  represents the source/drain Fermi–Dirac functions that set the approximate energy window for tunneling electrons.

One of the key issues in III–V TFETs is the presence of traps at the oxide-channel interface as well other hetero interfaces. These traps creates a leakage path for electrons in the oFF-state, leading to increased leakage current and SS. We consider TAT as a Fowler–Nordheim type tunneling process through a tilted barrier around the trap. The TAT current per unit width can be written in the following compact form: [18], [22]:

$$t_{\text{TAT}} = \frac{q}{2} v_{rcmb} n_i \Gamma d \left[ 1 - e^{-q V_{\text{DS}}/k_B T} \right]$$
(19)

where  $\Gamma$  is the electric field enhancement due to TAT and thermionic emission processes, *d* is the width of the trap active region, and  $n_i$  represents the intrinsic carrier concentration. The recombination velocity  $v_{\text{rcmb}} = \sigma v_{\text{th}} N_t$ , where  $N_t$  is the surface trap density per unit area at the midgap energy. Only midgap trap states are considered in our model as they have the highest occupation probability for electrons experiencing TAT.

The large electric field near the source/channel junction can trigger an additional current leakage mechanism called Auger generation even in immaculate interfaces with low trap densities. Auger generation involves scattering of electrons through Coulombic interactions. In this process, a "hot" electron promotes a valence band electron to the conduction

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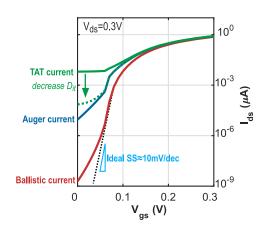


FIGURE 9.  $I_{ds}$ -V<sub>gs</sub> plot of homojunction InGaAs TFET showing deterioration of SS due to Auger and TAT currents.

band by colliding with it. This process limits the minimum SS that can be achieved in the TFET in the absence of traps [23]. The Auger generation rate is computed using Fermi's Golden Rule [18], [23]

$$G = \frac{1}{A} \frac{2\pi}{\hbar} \sum_{1,1',2,2'} P(1,1',2,2') \times |M|^2 \delta\left((E_1 - E_{1'} + E_2 - E_{2'})\right)$$
(20)

where P is the occupation probability and M is the matrix element that couples the initial states with the final states.

Fig. 9 shows the TFET drain–source current versus gate voltage computed using (18). Ballistic current closely matches an ideal SS of 10 mV/decade. Auger and TAT currents contribute to increased leakage current at  $V_{gs} = 0$  V, which effectively increases the SS. The interface trap density is nominally set to  $D_{it} = 5 \times 10^{12} \text{ m}^{-2} \text{ eV}^{-1}$ , which dominates the total current at low  $V_{gs}$ . Decreasing the trap density by  $10\,000 \times$  reduces TAT to the point that Auger current instead dominates the total current.

We can evaluate the impact of these device currents on the energy per cycle by using computed values for  $I_{ON}$  and  $I_{OFF}$  in place of (2) and (3), and substituting them directly into the energy per cycle model in (4)–(6). The results, shown in Fig. 10, demonstrate how the addition of nonidealities

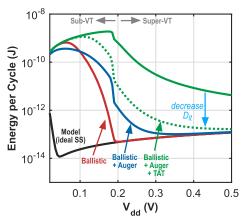


FIGURE 10. Energy per clock cycle versus supply voltage, shown for the ideal device model derived in Section II as well as the quasi-analytical TFET model that considers ballistic, Auger, and TAT currents. Nonidealities increase the energy per cycle and  $V_{dd,opt}$ .

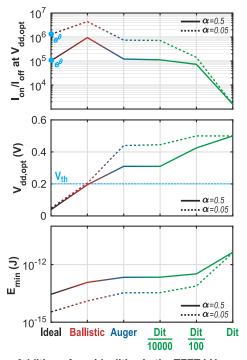


FIGURE 11. Addition of nonidealities in the TFET I-V characteristic increases the minimum obtainable energy per cycle  $E_{min}$  and optimum supply voltage  $V_{dd,opt}$  for achieving  $E_{min}$ . When nonidealities are considered, circuits are overwhelmingly dominated by leakage energy, so the minimum-energy point occurs in the super-threshold region.

increases the energy per cycle of the circuit. Ballistic current mirrors an ideal SS down to low  $V_{gs}$  before it tapers away with rising leakage current that increases the static energy of the circuit. This effect appears as increased  $E_{cycle}$  at low  $V_{dd}$  that deviates from the ideal minimum-energy point and shifts  $V_{dd,opt}$  higher. Adding Auger and TAT current to the TFET model increases the SS even more, so  $E_{cycle}$  and  $V_{dd,opt}$ both rise further. Note that these results are in line with the theoretical models given by (10) and (12) despite  $V_{dd,opt}$ exceeding  $V_{th}$  of the device.

As  $V_{dd,opt}$  rises with increasing nonidealities, energy minimization becomes more difficult. While energy in a ballistic-only device can be minimized in the sub-threshold region, the addition of Auger currents moves the minimum-energy point to the super-threshold region, and TAT currents eliminate the existence of a true minimum-energy point. Fig. 11 summarizes these trends and revisits the optimum  $I_{\rm ON}/I_{\rm OFF}$  ratio for minimum-energy operation. In the ideal model,  $I_{\rm ON}/I_{\rm OFF} = e^{\theta}$  as expected. Energy for the ballistic model is minimized in the subthreshold region but since the SS increases and  $I_0$  and  $V_{th}$  are not compensated,  $I_{\rm ON}/I_{\rm OFF}$  rises above  $e^{\theta}$  (see Fig. 5). When Auger and TAT currents are present, the minimum-energy point lies outside the subthreshold region where our models and assumptions are no longer valid. Still,  $V_{dd,opt}$  and  $E_{min}$ continue to increase into the super-threshold region which agrees with our model, while the  $I_{ON}/I_{OFF}$  ratio decreases due to increasing  $I_{OFF}$ .

#### **IV. CONCLUSION**

This work presents a theoretical model for evaluating minimum-energy operation in steep-SS TFET devices. We show that the minimum energy consumption and optimal supply voltage scale proportionally with SS, and that voltage regulation losses diminish energy savings as SS is reduced. Steep-SS devices can be optimized for minimum-energy operation as long as their  $I_{ON}/I_{OFF}$  ratio reaches a fundamental threshold limit that is dictated only by circuit architecture, and device knobs such as threshold voltage and on-current can be used to achieve minimum-energy operation at a target clock frequency. Typical device tradeoffs between SS and on-current have orthogonal control over the energy optimization space by dictating the minimum obtainable energy  $E_{\min}$  and maximum clock frequency that can be reached under minimum-energy operation. TFET nonidealities such as Auger and TAT currents deteriorate the SS and increase the minimum energy point.

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